

Grant/Subaward Title: _____

SIGNIFICANT FINANCIAL INTEREST(S) DISCLOSURE & TRAINING FOR SUBRECIPIENT INVESTIGATORS OR CONSULTANTS UNDER NSF and PHS PRIME AWARDS

I. DISCLOSURE

You have been identified as a subrecipient investigator or consultant under a v NSF or PHS prime award to the University of South Florida and you have confirmed that your institution does NOT have an NSF or PHS compliant conflict of interest policy (as appropriate, based on proposal funding source). As such, you must submit a Significant Financial Interest Disclosure to the University of South Florida. Only disclose those Significant Financial Interests, including those by your spouse and dependent children, which are directly related to the work you will perform for the University of South Florida under this subaward. You must complete the attached form and return it to the appropriate office indicated below at the following times:

- x No later than at the time of application for NSF PHS funded research;
- x Within 30 days of discovering acquiring a new Significant Financial Interest;
- x At least annually during the period of award.

Upon receipt, the University of South Florida will review the disclosure, determine whether a Financial Conflict of Interest (FCOI) exists, and if so, whether it is possible to manage the FCOI. For PHS-projects, when a FCOI is identified, the University of South Florida will report its existence and all required details of the FCOI to the PHS Awarding Component as required by regulation. For NSF-funded projects, if a related conflict of interest cannot be satisfactorily managed, USF will notify the Office of the General Counsel.

II. TRAINING:

You must also read the required training and certify that you have done so on Question 5 of the attached Disclosure. Training is available at www.usf.edu/officeofthegeneralcounsel/training.



3. Is there any potential that the work you perform for the University of South Florida could affect the monetary value of the Significant Financial Interest being disclosed? Please explain your answer (do not simply answer "yes" or "no").

4. Does the work you will perform for the University of South Florida under this subaward involve human participants? Y N

5. I certify that I have read and understand the required COI training entitled "University of South Florida Financial Conflict of Interest (FCOI) Training for Subrecipients" _____

I certify that all information is complete and accurate to the best of my knowledge:

SIGNATURE (REQUIRED) DATE

Additional space for listing Significant Financial Interests:

Entity Name	Nature of Interest	Value
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*If you listed additional travel, the "Value" field is optional, but please indicate for each trip, the:

Entity:	
Destination:	
Duration:	
Purpose:	

Entity:
Destination: